

Caterpillar Foundation

100 N.E. Adams St.

Peoria, IL 61629-1480

Telephone: (309) 675-5941

Contact: Jennifer Zammuto, V.P.

URL: www.cat.com/foundation

Type of Grantmaker: Company-sponsored foundation

Financial Data (yr. ended 12/31/12): Assets: \$39,183,216; Total giving: \$59,031,292

EIN: 376022314

990-PF: [2012](#) [2011](#) [2010](#) [2010](#) [2009](#) [2008](#) [2007](#) [2006](#) [2005](#) [2004](#) [2003](#) [2002](#) [2001](#)

Last Updated: 11/6/2013

Donor(s)

Caterpillar Inc.

Background

Established in 1952 in IL.

Limitations

Giving primarily in areas of company operations in North Little Rock, AR, Tucson, AZ, San Diego, CA, Griffin, LaGrange, and Spalding, GA, Aurora, Decatur, Joliet, and Pontiac, IL, Franklin and Lafayette, IN, Wamego, KS, Danville, KY, Minneapolis, MN, Boonville and West Plains, MO, Boonville, Corinth, and Prentiss, MS, Cary, Clayton, Morgantown, Sanford, and Winston-Salem, NC, Fargo, ND, Sumter, SC, Victoria, TX, and Milwaukee, WI, with emphasis on Peoria, IL.

No support for fraternal organizations or exclusive membership societies, hospitals, political action committees or candidates, private foundations, religious organizations not of direct benefit to the entire community, or discriminatory organizations.

No grants to individuals, or for graduate student scholarships or fellowships, capital campaigns, building construction, debt reduction, development or production of books, videos, films, or television programs, research papers or articles in professional journals, endowments, general operating or agency programs funded by the United Way, political causes, research, sponsorships, tickets, or advertising for fund-raising, or travel; no product or service donations; no loans.

Purpose and Activities

The foundation supports programs designed to advance knowledge and education; protect the environment and conservation of resources; and promote access to basic human services.

Program Area(s)

The grantmaker has identified the following area(s) of interest:

Community Service Awards

The foundation honors employees who have shown an outstanding volunteer commitment to their communities. The award includes a \$25,000 donation to the nonprofit of the recipient's choice.

Employee Matching Gifts

The foundation matches contributions made by employees, retirees, and directors of Caterpillar to institutions of higher education and organizations involved with arts and culture, the environment, and public policy on a one-for-one basis from \$50 to \$2,000 per contributor, per year.

Fields of Interest**Subjects**

Arts

Breast cancer

Community/economic development

Education
Engineering/technology
Environment
Environment, forests
Environment, land resources
Environment, natural resources
Environment, water resources
Health care
Higher education
Hospitals (general)
Human services
Mathematics
Media/communications
Media, television
Microfinance/microlending
Museums
Public affairs
Public policy, research
United Ways and Federated Giving Programs
Youth development, business
Zoos/zoological societies
Population Groups
Economically disadvantaged

Geographic Focus

Arizona
Arkansas
California
Georgia
Illinois
Indiana
Kansas
Kentucky
Minnesota
Mississippi
Missouri
North Carolina
North Dakota
South Carolina
Texas
Wisconsin

Types of Support

Annual campaigns
Building/renovation
Capital campaigns
Curriculum development
Employee matching gifts
Employee volunteer services
Equipment
General/operating support

FOUNDATION
CENTER

Matching/challenge support
 Program development
 Scholarship funds
 Sponsorships

Publications

Application guidelines
 Corporate giving report (including application guidelines)
 Program policy statement

Application Information

Visit website for e-mail address for local facility. Application form required. Applicants should submit the following:

1. Timetable for implementation and evaluation of project
2. Qualifications of key personnel
3. Statement of problem project will address
4. Population served
5. Name, address and phone number of organization
6. Copy of IRS Determination Letter
7. Brief history of organization and description of its mission
8. Copy of most recent annual report/audited financial statement/990
9. How project's results will be evaluated or measured
10. List of company employees involved with the organization
11. Explanation of why grantmaker is considered an appropriate donor for project
12. Listing of board of directors, trustees, officers and other key people and their affiliations
13. Detailed description of project and amount of funding requested
14. Contact person
15. Copy of current year's organizational budget and/or project budget
16. Listing of additional sources and amount of support
17. Additional materials/documentation

Initial approach: Complete online application for organizations located in Peoria, IL; download application and e-mail to nearest facility for organizations located outside of Peoria, IL

Board meeting date(s): Dec. 1

Deadline(s): None

Final notification: 2 months

Officers and Directors

Note: An asterisk () following an individual's name indicates an officer who is also a trustee or director.*

Michelle Sullivan, President

Jennifer L. Zammuto, Executive Vice President and Manager

M. H. Collier, Vice President

Robin D. Beran, Treasurer

M. C. Marshall, Secretary

Jim Baumgartner

Affiliation(s):

Caterpillar Inc., Director of Corporate Public Affairs

James B. Buda

Kathryn D. Karol

Financial Data**Year ended 12/31/12:**

Assets: \$39,183,216 (market value)

Gifts received: \$64,764,000

Expenditures: \$59,795,875

Total giving: \$59,031,292

Qualifying distributions: \$59,779,602

Giving activities include:

\$59,031,292 for 1,202 grants (high: \$6,291,050; low: \$50)

Additional Location Information

County: Peoria

Metropolitan area: Peoria, IL

Congressional district: Illinois District 17

Selected Grants

The following grants are a representative sample of this grantmaker's funding activity:

\$4,000,000 to United Way, Heart of Illinois, Peoria, IL, in 2011, payable over 1 year.

\$3,711,857 to International Youth Foundation, Baltimore, MD, in 2011, payable over 1 year.

\$1,696,979 to Bradley University, Peoria, IL, in 2011, payable over 1 year.

\$1,500,000 to Lakeview Museum of Arts and Sciences, Peoria, IL, in 2011, payable over 1 year.

\$78,000 to Engineers Without Borders USA, Boulder, CO, in 2011, payable over 1 year.

\$50,000 to Living Lands and Waters Restoration Organization, East Moline, IL, in 2011, payable over 1 year.

\$4,000 to Judson University, Elgin, IL, in 2011, payable over 1 year.

[Want to see more grants for this grantmaker? Try our Search Grants database.](#)

The Irwin Andrew Porter Foundation

7201 Ohms Ln., Ste. 100

Edina, MN 55439

URL: www.iapfoundation.org

Type of Grantmaker

Independent foundation

Additional Descriptor: Family foundation

Financial Data (yr. ended 8/31/12): Assets: \$3,017,293; Total giving: \$149,286

EIN: 411852392

990-PF: [2012](#) [2011](#) [2010](#) [2009](#) [2008](#) [2007](#) [2006](#) [2005](#) [2004](#) [2003](#) [2002](#) [2001](#)

Last Updated: 9/3/2013

Online Communications

[Grants List](#)

Donor(s)

Amy L. Hubbard

Background

Established in 1996 in MN.

Limitations

Giving primarily in the U.S., with a focus on IA, IL, MN, ND, SD, and WI; international giving limited to Central and

South America, the Caribbean, and Africa.

No support for political organizations or religious programs.

No grants to individuals, or for operating expenses, capital campaigns, or endowments.

Purpose and Activities

The mission of the foundation is to fund innovative projects that foster connections between individuals, communities, the environment and the world at large.

Fields of Interest

Subjects

Arts

Education

Environment

Environment, water resources

Health care

Human services

International economic development

Museums (art)

YM/YWCAs & YM/YWHAs

Geographic Focus

Illinois

Iowa

Minnesota

North Dakota

South Dakota

Wisconsin

Types of Support

Matching/challenge support

Application Information

Complete application guidelines available on foundation web site. Copies of proposal: 1

Board meeting date(s): Quarterly

Officer and Directors

Note: An asterisk () following an individual's name indicates an officer who is also a trustee or director.*

Amy L. Hubbard,* Chairperson

Arta Cheney

Scott Elkins

Jay Goldberg

Gloria Perez Jordan

Geoffrey Kehoe

Cari O'Brien

Financial Data

Year ended 8/31/12:

Assets: \$3,017,293 (market value)

Expenditures: \$156,117

Total giving: \$149,286

Qualifying distributions: \$149,286

Giving activities include:

\$149,286 for 18 grants (high: \$16,000; low: \$1,000)

Additional Location Information

County: Hennepin

Metropolitan area: Minneapolis-St. Paul-Bloomington, MN-WI

Congressional district: Minnesota District 3

Selected Grants

The following grants are a representative sample of this grantmaker's funding activity:

\$10,000 to Alliance of Early Childhood Professionals, Minneapolis, MN, in 2011, payable over 1 year.

Want to see more grants for this grantmaker? [Try our Search Grants database.](#)

Alex Stern Family Foundation

4141 28th Ave. S.

Fargo, ND 58104-8468

Contact: Donald L. Scott, Exec. Dir.

Fax: (701) 271-0408

E-mail: donlscott@yahoo.com

Type of Grantmaker

Independent foundation

Additional Descriptor: Family foundation

Financial Data (yr. ended 12/31/12): Assets: \$9,220,532; Total giving: \$471,205

EIN: 456013981

990-PF: [2012](#) [2011](#) [2010](#) [2009](#) [2008](#) [2007](#) [2006](#) [2005](#) [2004](#) [2003](#) [2002](#) [2001](#)

Last Updated: 7/17/2013

Donor(s)

Note: If a donor is deceased, the symbol (‡) follows the name.

William Stern‡

Sam Stern‡

Edward A. Stern‡

Background

Established in 1964 in ND.

Limitations

Giving limited to the Moorhead, MN, and Fargo, ND areas.

No grants to individuals, or for endowment funds; no loans.

Purpose and Activities

Primary areas of interest include the arts, child welfare, the elderly, alcohol abuse programs, and community funds.

Support also for family and social services, including legal services, and welfare for the homeless and disabled; community organizations; higher, business, minority, and other education; and hospices and cancer research.

Fields of Interest

Subjects

Aging, centers/services

Alcoholism

Arts

Child development, education
Child development, services
Children/youth, services
Family services
Higher education
Historic preservation/historical societies
Homeless, human services
Housing/shelter, development
Human services
Legal services
Museums
Performing arts
Performing arts, dance
Performing arts, theater
Residential/custodial care, hospices
Substance abuse, services

Population Groups

Aging
Disabilities, people with
Economically disadvantaged
Homeless
Minorities
Native Americans/American Indians

Geographic Focus

Minnesota
North Dakota

Types of Support

Annual campaigns
Building/renovation
Capital campaigns
Continuing support
Emergency funds
Equipment
General/operating support
Matching/challenge support
Program development
Research
Scholarship funds
Technical assistance

Publications

Annual report
Application guidelines

Application Information

Application form required. Applicants should submit the following:

1. Copy of IRS Determination Letter

Initial approach: Letter requesting application and guidelines
 Copies of proposal: 3
 Board meeting date(s): Varies
 Deadline(s): Mar. 31 for spring consideration and Aug. 31 for fall consideration
 Final notification: Within a few months

Officer and Trustees

Note: An asterisk () following an individual's name indicates an officer who is also a trustee or director.*

Donald L. Scott,* Executive Director

Dan Carey

H. Michael Hardy

Staff

Number of Staff

1 full-time professional

1 shared staff

Memberships

Affinity Groups

Environmental Grantmakers Association

Financial Data

Year ended 12/31/12:

Assets: \$9,220,532 (market value)

Expenditures: \$552,946

Total giving: \$471,205

Qualifying distributions: \$496,392

Giving activities include:

\$471,205 for 54 grants (high: \$75,000; low: \$705)

Additional Location Information

County: Cass

Metropolitan area: Fargo, ND-MN

Congressional district: North Dakota District At-large

Selected Grants

The following grants are a representative sample of this grantmaker's funding activity:

\$179,000 to Impact Foundation, Fargo, ND, in 2010. For future grants, payable over 1 year.

\$50,000 to Trollwood Performing Arts School, Fargo, ND, in 2010. For general operating support, payable over 1 year.

\$30,000 to Impact Foundation, Fargo, ND, in 2010. For general operating support, payable over 1 year.

\$10,000 to Churches United for the Homeless, Moorhead, MN, in 2010. For general operating support, payable over 1 year.

\$10,000 to Fargo-Moorhead Opera, Fargo, ND, in 2010. For general operating support, payable over 1 year.

\$10,000 to Fargo Public Library, Fargo, ND, in 2010. For capital campaign, payable over 1 year.

\$10,000 to Fraser, Ltd., Fargo, ND, in 2010. For Skills Enhancement Program, payable over 1 year.

\$10,000 to Friends of Andrew Nelson Committee, Fargo, ND, in 2010. For construction of a running track, payable over 1 year.

\$10,000 to Sisters of the Presentation of the Blessed Virgin Mary, Fargo, ND, in 2010. For general operating support of the Partners in Housing program, which provides assistance in the form of rent, security deposits, or utilities to those who need it, payable over 1 year.

\$10,000 to YWCA, Cass Clay, Fargo, ND, in 2010. For general operating support, payable over 1 year.

[Want to see more grants for this grantmaker? Try our Search Grants database.](#)

U.S. Bancorp Foundation, Inc.

U.S. Bank BC-MN-H21B

800 Nicollet Mall

Minneapolis, MN 55402

Telephone: (612) 303-4000

Contact: James D. Rhodes, Grants Mgr.

Fax: (612) 303-0787

E-mail: USBancorp@Easymatch.com

URL: www.usbank.com/cgi_w/cfm/about/community_relations/charit_giving.cfm

Type of Grantmaker: Company-sponsored foundation

Financial Data (yr. ended 12/31/11): Assets: \$35,410,950; Total giving: \$21,939,542

EIN: 411359579

990-PF: [2011](#) [2010](#) [2009](#) [2008](#) [2007](#) [2006](#) [2005](#) [2004](#) [2003](#) [2002](#) [2001](#)

Last Updated: 7/19/2013

Additional Contact Information

Additional address: U.S. Bank Foundation, Grant Prog., P.O. Box 8857, Princeton, NJ 08543-8857, tel.: (866) 243-6925

Online Communications

[Grants List](#)

Donor(s)

First Bank System, Inc.

U.S. Bancorp

U.S. Bank, N.A.

Background

Established in 1979.

Limitations

Giving primarily in AR, AZ, CA, CO, IA, ID, IL, IN, KS, KY, MN, MO, MT, ND, NE, NM, NV, OH, OR, SD, TN, UT, WA, WI, and WY.

No support for fraternal organizations, merchant associations, or 501(c)(4) or (6) organizations, 509(a)(3) supporting organizations, pass-through organizations or private foundations, religious organizations, political organizations or lobbying organizations, or sponsorships.

No grants to individuals, or for fundraising events or sponsorships, travel, endowments, debt reduction, or chamber memberships or programs.

Purpose and Activities

The foundation supports organizations involved with arts and culture, economic opportunity (see website for definition), education, and United Way. Special emphasis is directed toward programs designed to improve the educational and economic opportunities of low- and moderate-income individuals and families; and enhance the cultural and artistic lives of communities.

Program Area(s)

The grantmaker has identified the following area(s) of interest:

Cultural and Artistic Enrichment

The foundation supports programs designed to build audiences for the arts, especially among underserved populations; bring select and limited civic amenities to underserved, rural communities; and promote the arts in education.

Economic Opportunity - Affordable Housing

The foundation supports programs designed to preserve, rehabilitate, and construct quality affordable housing that assists low- and moderate-income populations; and provide home buyer counseling and related economic education to individuals and families with low and moderate incomes.

Economic Opportunity - Economic Development

The foundation supports programs designed to promote small business development and expansion, commercial revitalization, and job creation.

Economic Opportunity - Self-Sufficiency

The foundation supports programs designed to assist low- and moderate-income individuals in development of work and life skills essential to self-sufficiency, with a focus on work-entry programs, specific skills training, employment retention, and personal financial management training; and help people transition from welfare to work by addressing child care and transportation issues.

Education

The foundation supports programs designed to help low-income and at-risk students succeed in school and prepare for post-secondary education; provide financial literacy training; and engage in effective mentoring programs. Special emphasis is directed toward programs designed to reach a broad number of students; bring together community resources; support curriculum innovation; and be replicated.

Employee Matching Gifts

The foundation matches contributions made by eligible employees of U.S. Bank to institutions of higher education and nonprofit organizations on a one-for-one basis from \$50 to \$1,000 per contributor, per year, or \$3,000 for employees serving on nonprofit boards.

Fields of Interest**Subjects**

Arts
Arts education
Arts, multipurpose centers/programs
Community/economic development
Community development, small businesses
Economic development
Education
Elementary/secondary education
Employment
Employment, training
Higher education
Historic preservation/historical societies
Housing/shelter
Housing/shelter, development
Housing/shelter, home owners
Housing/shelter, rehabilitation
Human services, financial counseling
Museums
Performing arts
United Ways and Federated Giving Programs
Youth development
Youth development, adult & child programs

Population Groups

Adults
Children

Children/youth
Economically disadvantaged
Young adults
Youth

Geographic Focus

Arizona
Arkansas
California
Colorado
Idaho
Illinois
Indiana
Iowa
Kansas
Kentucky
Minnesota
Missouri
Montana
Nebraska
Nevada
North Dakota
Ohio
Oregon
South Dakota
Tennessee
Utah
Washington
Wisconsin
Wyoming



FOUNDATION
CENTER

Types of Support

Capital campaigns
Employee matching gifts
General/operating support
In-kind gifts
Program development
Scholarship funds

Publications

Annual report
Application guidelines
Corporate report
Grants list

Application Information

Unsolicited applications accepted from organizations located in communities served by U.S. Bank. Visit website for state charitable giving contacts and various application deadlines. Application form required. Applicants should submit the following:

1. Copy of IRS Determination Letter

2. Copy of most recent annual report/audited financial statement/990
3. Listing of board of directors, trustees, officers and other key people and their affiliations
4. Copy of current year's organizational budget and/or project budget
5. Additional materials/documentation

Initial approach: Complete online application

Board meeting date(s): 5 times per year

Deadline(s): Deadlines vary by state; Check website for deadlines for local area; Feb. 1 for Arts and Culture, Apr. 1 for Economic Opportunity, and July 1 for Education for organizations located in Twin Cities, Minnesota

Officers and Directors

Note: An asterisk () following an individual's name indicates an officer who is also a trustee or director.*

Deborah M. Burke,* Chairperson and President

James L. Chosy,* Secretary

Andrew Cecere,* Treasurer

Affiliation(s):

U.S. Bancorp, Chief Financial Officer

Jennie P. Carlson

Affiliation(s):

U.S. Bancorp, Executive Vice President, Human Resources

Richard K. Davis

Affiliation(s):

U.S. Bancorp, Chairperson, President, and Chief Executive Officer

Terrance Dolan

John Elmore

Elliot Jaffee

Barry Martin

Richard Payne

Kent Stone

Jeffrey H. Von Gillern

Memberships

Regional Associations of Grantmakers

Minnesota Council on Foundations

Philanthropy Northwest

Associations and Other Philanthropic Organizations

Council on Foundations

Financial Data

Year ended 12/31/11:

Assets: \$35,410,950 (market value)

Gifts received: \$30,000,000

Expenditures: \$22,605,548

Total giving: \$21,939,542

Qualifying distributions: \$22,373,819

Giving activities include:

\$19,992,591 for 2,987 grants (high: \$850,000; low: \$250)

\$1,946,951 for employee matching gifts

Estimated financial data for year ending 12/31/12:

Grants: \$23,200,000

Additional Location Information

County: Hennepin

Metropolitan area: Minneapolis-St. Paul-Bloomington, MN-WI

Congressional district: Minnesota District 5

Selected Grants

The following grants are a representative sample of this grantmaker's funding activity:

\$850,000 to United Way, Greater Twin Cities, Minneapolis, MN, in 2011. For general operating support, payable over 1 year.

\$850,000 to United Way, Greater Twin Cities, Minneapolis, MN, in 2010. For general operating support, payable over 1 year.

\$200,000 to Minnesota Orchestral Association, Minneapolis, MN, in 2011. For general operating support, payable over 1 year.

\$200,000 to Minnesota Orchestral Association, Minneapolis, MN, in 2010. For general operating support, payable over 1 year.

\$150,000 to Saint Louis University, Saint Louis, MO, in 2011. For general operating support, payable over 1 year.

\$150,000 to Saint Louis University, Saint Louis, MO, in 2010. For general operating support, payable over 1 year.

\$100,000 to American Red Cross, Bismarck, ND, in 2010. For general operating support, payable over 1 year.

\$100,000 to American Red Cross National Headquarters, Washington, DC, in 2011. For general operating support, payable over 1 year.

\$100,000 to Greenlining Institute, Berkeley, CA, in 2010. For general operating support, payable over 1 year.

\$100,000 to Local Initiatives Support Corporation, New York, NY, in 2011. For general operating support, payable over 1 year.

\$90,000 to Sioux Empire Housing Partnership, Sioux Falls, SD, in 2011. For general operating support, payable over 1 year.

\$65,000 to Minnesota Home Ownership Center, Saint Paul, MN, in 2010. For general operating support, payable over 1 year.

\$50,000 to Big Brothers Big Sisters of Metropolitan Milwaukee, Milwaukee, WI, in 2011. For general operating support, payable over 1 year.

\$50,000 to Big Brothers Big Sisters of Metropolitan Milwaukee, Milwaukee, WI, in 2010. For general operating support, payable over 1 year.

\$5,500 to United Way of the Greater Clarksville Region, Clarksville, TN, in 2011. For general operating support, payable over 1 year.

\$5,000 to Association for the Advancement of Contemporary Dance, Boise, ID, in 2011. For general operating support, payable over 1 year.

\$5,000 to Elements of Education Partners, Tacoma, WA, in 2011. For general operating support, payable over 1 year.

\$5,000 to Northeast Community College Foundation, Norfolk, NE, in 2010. For general operating support, payable over 1 year.

\$4,500 to Field Museum of Natural History, Chicago, IL, in 2010. For general operating support, payable over 1 year.

\$4,500 to United Way of Columbia County, Rainier, OR, in 2010. For general operating support, payable over 1 year.

Want to see more grants for this grantmaker? [Try our Search Grants database.](#)

Unisys Corporation Contributions Program

801 Lakeview Dr., Ste. 100

Blue Bell, PA 19422-1901

Telephone: (215) 986-4011

URL: www.unisys.com/unisys/about/sr/detail.jsp?id=10500002&pid=207

Type of Grantmaker: Corporate giving program

Last Updated: 8/31/2011

Limitations

Giving primarily in areas of company operations with emphasis on AL, CA, IL, MI, MN, MO, ND, NJ, NY, PA, PR, UT, VA, and WA.

Purpose and Activities

Unisys makes charitable contributions to nonprofit organizations involved with business and technology higher education, health, and human services. Support is given primarily in areas of company operations in Alabama, California, Illinois, Michigan, Minnesota, Missouri, New Jersey, New York, North Dakota, Pennsylvania, Puerto Rico, Utah, Virginia, and Washington.

Fields of Interest

Subjects

Business school/education
Civil/human rights, equal rights
Engineering/technology
Health care
Higher education
Human services
Museums (science/technology)
Public affairs, political organizations
Science
United Ways and Federated Giving Programs

Geographic Focus

Alabama
California
Illinois
Michigan
Minnesota
Missouri
New Jersey
New York
North Dakota
Pennsylvania
Puerto Rico
Utah
Virginia
Washington

Types of Support

Employee volunteer services
General/operating support

Additional Location Information

County: Montgomery
Metropolitan area: Philadelphia-Camden-Wilmington, PA-NJ-DE-MD
Congressional district: Pennsylvania District 7

